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Customer Number



Patent

Case No.: 58961US002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ROSENFLANZ, ANATOLY Z.

Application No.: 10/666098

Group Art Unit: 1755

Filed: September 18, 2003

Examiner: Elizabeth Bolden

Title: CERAMICS COMPRISING Al_2O_3 , Y_2O_3 , ZrO_2 AND/OR HfO_2 , AND Nb_2O_5 AND/OR Ta_2O_5 AND METHODS OF MAKING THE SAMEINFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

August 10, 2004

Date

Signed by: Lisa Hengen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

Date

August 9, 2004

By:

Gregory D. Allen, Reg. No.: 35,048
Telephone No.: (651) 736-0641

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use many sheets as necessary)

Page 1 of 2

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10/666098

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September 18, 2003

First Named Inventor

Rosenflanz, Anatoly

Art Unit

1755

Examiner Name

Elizabeth Bolden

Attorney Case Number

58961US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 3,625,717	12/07/1971	Grubba et al.	
	A2	US- 4,316,964	02/23/1982	Lange	
	A3	US- 4,757,036	07/12/1988	Kaar et al.	
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Foreign Patent Documents						
Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Ctry. Code	Number-KindCode (if known)			
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	B9	GB	2 116 992	10/05/1983		

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 2	Application Number	10/666098
	Filing Date	September 18, 2003
	First Named Inventor	Rosenflanz, Anatoly
	Art Unit	1755
	Examiner Name	Elizabeth Bolden
	Attorney Case Number	58961US002

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	B11	JP	61099665	05/17/1986			Abstract
	B12	JP	05-085821	04/06/1993			X
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	B14	JP	62-003041	01/09/1987			X
	B15	JP	2003-94414	04/03/2003			X

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
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	C2	Aguilar et al, "Melt Extraction Processing of Structural Y ₂ O ₃ -Al ₂ O ₃ Fibers", J. Eur. Ceram. Soc. 20 1091-1098 (2000)	
	C3	Gandhi, A.S. and Jarayam, V., "Pressure Consolidation of Amorphous ZrO ₂ -Al ₂ O ₃ by Plastic Deformation of Powder Particles", Acta Materiala, 50 (2002), 2137-2149	
	C4	Gonzalez, Eduardo J., et al., "High Pressure Compaction and Sintering of Nano-Size γ-Al ₂ O ₃ Powder", Materials and Manufacturing Processes Vol. 11, No. 6, 951-967, 1996	
	C5	Jantzen, C.M., Krepski, R.P., & Herman, H., "Ultra-Rapid Quenching of Laser-Method Binary and Unary Oxides", Mat. Res. Bull. 15, 1313-1326 (1980)	
	C6	Khor K.A., "Novel ZrO ₂ -Mullite Composites Produced By Plasma Spraying", Proceedings of the 15 th International Thermal Pray Conference, 25-29 May 1998, Nice, France	
	C7	Ray, C.S. and Day, D.E., "Determining the Nucleation Rate Curve for Lithium Disilicate Glass by Differential Thermal Analysis", J. Am. Ceram. Soc. 73(2) 439-442 (1990)	
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	C9	Sarjeant, P.T. & Roy, R., in <i>Reactivity of Solids</i> (ed. J. W. Mitchell, R.C., DeVries, R.W., Roberts and P. Cannon) 725-33 (John Wiley & Sons, Inc., New York 1969)	
	C10	Takamori, T., & Roy, R., "Rapid Crystallization of SiO ₂ -Al ₂ O ₃ Glasses", Journal of American Society, Vol. 56, No. 12, December 1973.	
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